

JUDGE CAPRONI

**CIVIL COVER SHEET**

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

PLAINTIFFS

Kevin Maher  
300 Route 313, Cambridge, NY 12816

**DEFENDANTS**

See attached Exhibit A

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ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

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**ATTORNEYS (IF KNOWN)**

Lovell Stewart Halebian Jacobson LLP  
61 Broadway, Suite 501, NY NY 10006 Tel: (212) 608-1900

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)  
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

Defendants allegedly manipulated gold and gold derivatives in violation of 15 U.S.C. § 1 et seq. and 7 U.S.C. § 1 et seq.

Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? No  Yes  Judge Previously Assigned \_\_\_\_\_

If yes, was this case Vol.  Invol.  Dismissed. No  Yes  If yes, give date \_\_\_\_\_ & Case No. \_\_\_\_\_

IS THIS AN INTERNATIONAL ARBITRATION CASE? No  Yes

(PLACE AN [x] IN ONE BOX ONLY)

#### NATURE OF SUIT

*Check if demanded in complaint:*

CHECK IF THIS IS A CLASS ACTION  
UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.?  
IF SO, STATE:

DEMAND \$ 5,000,000+ OTHER

JUDGE DOCKET NUMBER

*Check YES only if demanded in complaint*  
**JURY DEMAND:**  YES  NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form JH-32).

(PLACE AN X IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from (Specify District)	<input type="checkbox"/> 6 Multidistrict Litigation	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge Judgment
<input type="checkbox"/> a. all parties represented						
<input type="checkbox"/> b. At least one party is pro se.						

(PLACE AN X IN ONE BOX ONLY)

<input type="checkbox"/> 1 U.S. PLAINTIFF	<input type="checkbox"/> 2 U.S. DEFENDANT	<input checked="" type="checkbox"/> 3 FEDERAL QUESTION (U.S. NOT A PARTY)	<input type="checkbox"/> 4 DIVERSITY
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IF DIVERSITY, INDICATE  
CITIZENSHIP BELOW.  
(28 USC 1332, 1441)

## CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF [ ] 1	DEF [ ] 1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF [ ] 3	DEF [ ] 3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF [ ] 5	DEF [ ] 5
CITIZEN OF ANOTHER STATE	[ ] 2	[ ] 2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[ ] 4	[ ] 4	FOREIGN NATION	[ ] 6	[ ] 6

## PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

Kevin Maher  
300 Route 313  
Cambridge, NY 12816  
Washington County, New York

## DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

See attached Exhibit A

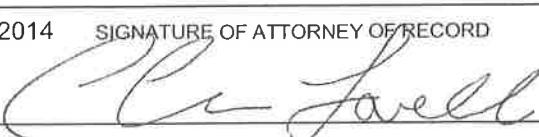
## DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

Check one: THIS ACTION SHOULD BE ASSIGNED TO:  WHITE PLAINS  MANHATTAN  
(DO NOT check either box if this a PRISONER PETITION/PRISONER CIVIL RIGHTS COMPLAINT.)

DATE 3/3/2014 SIGNATURE OF ATTORNEY OF RECORD

RECEIPT #

  
ADMITTED TO PRACTICE IN THIS DISTRICT  
[ ] NO  
 YES (DATE ADMITTED Mo. 12 Yr. 1977)  
Attorney Bar Code # CL 2595

Magistrate Judge is to be designated by the Clerk of the Court.



Magistrate Judge \_\_\_\_\_ is so Designated.

Ruby J. Krajick, Clerk of Court by \_\_\_\_\_ Deputy Clerk, DATED \_\_\_\_\_.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

**Exhibit A**

**List of Defendants**

Bank of Nova Scotia  
6th Floor, 201 Bishopsgate  
London  
EC2M 3NS

Barclays Plc  
5 The North Colonnade  
Canary Wharf  
London  
E14 4BB

Deutsche Bank AG  
Winchester House  
1 Great Winchester Street  
London  
EC2N 2DB

HSBC Holdings Plc  
8 Canada Square  
London  
E14 5HQ

Societe Generale SA  
Exchange House  
Primrose Street  
London  
EC2A 2HT